



2121

PTO/SB/21 (05-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/841,219
Filing Date	April 24, 2001
First Named Inventor	Robert MANN et al.
Art Unit	2121
Examiner Name	
Attorney Docket Number	74577-030

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Total Number of Pages In This Submission

4

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### ENCLOSURES (Check all that apply)

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<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. Statement Under 37 CFR 3.73(b)
<input type="checkbox"/> Certified Copy of Priority Document(s)		3. Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John C. Stellabotte, Reg. No. 47,969 Proskauer Rose LLP
Signature	<i>John C. Stellabotte</i>
Date	June 26, 2003

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Signature	<i>Alexander Migirov</i>	Date	June 26, 2003

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PTO/SB/01 (05-03)

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	09/841,219
Filing Date	April 24, 2001
First Named Inventor	Robert Mann, et al.
Title	PERFORMANCE MEASUREMENT...
Art Unit	2121
Examiner Name	
Attorney Docket Number	74577-030

I hereby appoint:

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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

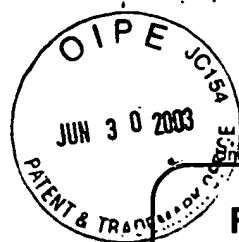
Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		
Signature			
Date	6-20-03	Telephone	203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

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PTO/SB/82 (05-03)

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**REVOCATION OF POWER OF  
ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	09/841,219
Filing Date	April 24, 2001
First Named Inventor	Robert MANN, et al.
Art Unit	2121
Examiner Name	
Attorney Docket Number	74577-030

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A power of Attorney or Authorization of Agent is submitted herewith.**RECEIVED**

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**RECEIVED**

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**GROUP 3600****SIGNATURE of Applicant or Assignee of Record**

Name	Gregg I. Goldman, Director and Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		
Signature			
Date	6-20-03	Telephone	203.719.3099

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Robert Mann et al.

Application No./Patent No.: 09/841,219 Filed/Issue Date: April 24, 2001

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Entitled: PERFORMANCE MEASUREMENT & MANAGEMENT

**GROUP 3600**

UBS AG

a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Robert MANN et al. To: UBS AG

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2. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6-20-03

Date

203.719.3099

Telephone number

Gregg I. Goldman

Typed or printed name

[Signature]

Signature

Director and Counsel

Title

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